# THE INVERSE GALOIS PROBLEM OVER FORMAL POWER SERIES FIELDS

ΒY

MOSHE JARDEN\*

School of Mathematical Sciences Raymond and Beverly Sackler Faculty of Exact Sciences Tel Aviv University Ramat Aviv, Tel Aviv 69978, Israel e-mail: jarden@math.tau.ac.il

#### ABSTRACT

Consider a valuation ring R of a discrete Henselian field and a positive integer r. Let F be the quotient field of the ring  $R[[X_1, \ldots, X_r]]$ . We prove that every finite group occurs as a Galois group over F. In particular, if  $K_0$  is an arbitrary field and  $r \geq 2$ , then every finite group occurs as a Galois group over  $K_0((X_1, \ldots, X_r))$ .

### Introduction

The inverse Galois problem asks whether every finite group G occurs as a Galois group over the field  $\mathbb{Q}$  of rational numbers. We then say that G is realizable over  $\mathbb{Q}$ . This problem goes back to Hilbert [Hil] who realized  $S_n$  and  $A_n$  over  $\mathbb{Q}$ . Many more groups have been realized over  $\mathbb{Q}$  since 1892. For example, Shafarevich [Sha] finished in 1958 the work started by Scholz 1936 [Slz] and Reichardt 1937 [Rei] and realized all solvable groups over  $\mathbb{Q}$ . The last ten years have seen intensified efforts toward a positive solution of the problem. The area has become one of the frontiers of arithmetic geometry (see surveys of Matzat [Mat] and Serre [Se1]).

Received August 20, 1993

<sup>\*</sup> The work on this paper started when the author was an organizer of a research group on the Arithmetic of Fields in the Institute for Advanced Studies at the Hebrew University of Jerusalem in 1991–92. It was partially supported by a grant from the G.I.F., the German-Israeli Foundation for Scientific Research and Development.

M. JARDEN

Parallel to the effort of realizing groups over  $\mathbb{Q}$ , people have generalized the inverse Galois problem to other fields with good arithmetical properties. The most distinguished field where the problem has an affirmative solution is  $\mathbb{C}(t)$ . This is a consequence of the Riemann Existence Theorem from complex analysis.

Winfried Scharlau and Wulf-Dieter Geyer asked what is the absolute Galois group of the field of formal power series  $F = K((X_1, \ldots, X_r))$  in  $r \ge 2$  variables over an arbitrary field K. The full answer to this question is still out of reach. However, a theorem of Harbater (Proposition 1.3a) asserts that each Galois group is realizable over the field of rational function F(T). By a theorem of Weissauer (Proposition 3.1), F is Hilbertian. So, G is realizable over F. Thus, the inverse Galois problem has an affirmative solution over F.

The goal of this note is to prove the same result in a more general setting.

THEOREM A: Let R be the valuation ring of a discrete Henselian field K, let r be a positive integer, and let F be the quotient field of  $R[[X_1, \ldots, X_r]]$ . Then every finite group G is realizable over F.

COROLLARY B:

- (a) Let  $K_0$  be an arbitrary field and let  $r \ge 2$ . Then every finite group is realizable over  $K_0((X_1, \ldots, X_r))$ .
- (b) Let r ≥ 1 and let F be the quotient field of Z<sub>p</sub>[[X<sub>1</sub>,...,X<sub>r</sub>]] or of Z<sub>p,alg</sub>[[X<sub>1</sub>,...,X<sub>r</sub>]]. Then every finite group is realizable over F. Here Z<sub>p</sub> is the ring of p-adic numbers and Z<sub>p,alg</sub> is the subring of all p-adic numbers which are algebraic over Q.

*Proof:* Apply Theorem A to  $R = K_0[[X_1]]$ , to  $R = \mathbb{Z}_p$ , and to  $R = \mathbb{Z}_{p,alg}$ .

The proof of Theorem A is a combination of several known results which we bring in this note.

ACKNOWLEDGEMENT: The author is indebted to Wulf-Dieter Geyer and Dan Haran for their help to improve older versions of this note.

# 1. The theorem of Harbater and Liu

Let K be a field and let G be a finite group. We say that G is **regular** over K if there exists an absolutely irreducible polynomial  $f \in K[T, X]$  which is Galois over K(T) whose Galois group, namely,  $\mathcal{G}(f(T, X), K(T))$  is isomorphic to G.

Alternatively, K(T) has a Galois extension F which is regular over K such that  $\mathcal{G}(F/K(T)) \cong G$ .

We say that G is regular over K with a rational point if there exists a dominating Galois rational map of irreducible affine curves  $\phi: C \to \mathbb{A}^1$  defined over K such that C has a simple K-rational point and  $\mathcal{G}(C/\mathbb{A}^1) \cong G$ .

Remark 1.1: Base field extension. Note that if G is regular over a field K, then it is regular over every extension L of K. Indeed, we may take F as free from L and therefore as linearly disjoint from L over K [FrJ, Lemma 9.9].

Similarly, if G is regular over K with a rational point, then G is regular with a rational point over each extension of K.

The condition on C to have a K-rational point implies that F is regular over K. Thus, "G is regular over K with a rational point" implies that "G is regular over K".

Indeed, let E = K(T) be the function field of  $\mathbb{A}^1$  and let F be the function field of C over K. By assumption, F/E is Galois with  $\mathcal{G}(F/E) \cong G$ . Also, there exists a place  $\phi: F \to K \cup \{\infty\}$  over K [JaR, Cor. A2]. It follows from the following well known lemma that F/K is regular.

LEMMA 1.2: Let F/K be an extension of fields. If there exists a K-place  $\phi: F \to K \cup \{\infty\}$ , then F/K is regular.

**Proof:** Indeed, let  $w_1, \ldots, w_n \in \tilde{K}$  be linearly independent over K and let  $u_1, \ldots, u_n \in F$  such that  $\sum_{i=1}^n u_i w_i = 0$  and not all  $u_i$  are 0. Assume without loss that  $\phi(u_i/u_1) \in K$ ,  $i = 1, \ldots, n$  and extend  $\phi$  to a  $\tilde{K}$ -place  $\tilde{\phi}: F\tilde{K} \to \tilde{K} \cup \{\infty\}$ . Then apply  $\tilde{\phi}$  to  $\sum_{i=1}^n \frac{u_i}{u_1} w_i = 0$  to get the relation  $\sum_{i=1}^n \phi(\frac{u_i}{u_1}) w_i = 0$ . It follows that  $1 = \phi(\frac{u_1}{u_1}) = 0$ . This contradiction proves that F is linearly disjoint from  $\tilde{K}$  over K. In other words, F/K is regular.

Suppose now that K is an infinite field and that  $\phi: C \to \mathbb{A}^1$  is as above, with  $C \subseteq \mathbb{A}^n, n \ge 2$ . Then we may project C from an appropriate point of  $\mathbb{A}^n(K)$  onto a curve  $C' \subseteq \mathbb{A}^2$  such that C' is K-birationally equivalent to C and the K-rational simple point of C is mapped on a simple K-rational point of C'. Thus there exists an absolutely irreducible polynomial  $f \in K[T, X]$  with  $\mathcal{G}(f(T, X), K(T)) \cong G$  and there exists  $a, b \in K$  such that f(a, b) = 0 and  $\frac{\partial f}{\partial T}(a, b) \neq 0$  or  $\frac{\partial f}{\partial X}(a, b) \neq 0$ .

PROPOSITION 1.3: Let R be local integral domain with a quotient field K such that  $R \neq K$ .

- (a) (Harbater [Ha1, Thm. 2.3]) If R is complete, then each finite group is regular over K with a rational point.
- (b) (Liu [Liu]) If R is a complete discrete valuation ring, then each finite group G is regular over K with a rational point.

Remark 1.4: About the proofs of Harbater and Liu.

(a) Harbater uses 'mock covers' and 'Grothendieck's existence theorem' [GrD, (5.1.6)] in his proof. The rationality of the group over K is not explicitly stated in [Ha1, Thm. 2.3], but it can be deduced from the properties of the 'mock covers'.

(b) Liu [Liu] translates Harbater's method into 'rigid analytic geometry' for the case where R is a complete discrete valuation ring. We prove however, that this special case of Harbater's result implies the more general theorem.

LEMMA 1.5: Each complete local integral domain R which is not a field contains a complete discrete valuation ring.

**Proof:** Let  $\mathfrak{m}$  be the maximal ideal of R. Suppose first that  $\operatorname{char}(R) = 0$ . Then  $\mathbb{Z} \subseteq R$  and there are two possibilities:

CASE A:  $\mathbb{Z} \cap \mathfrak{m} \neq 0$ . Then  $\mathbb{Z} \cap \mathfrak{m} = p\mathbb{Z}$  for some prime number p. Since R is complete,  $\mathbb{Z}_p \subseteq R$ .

CASE B:  $\mathbb{Z} \cap \mathfrak{m} = 0$ . Since R is not a field, there exists  $0 \neq x \in \mathfrak{m}$ . If x were algebraic over  $\mathbb{Q}$ , then  $a_n x^n + \cdots + a_1 x + a_0 = 0$  with  $a_0, a_1, \ldots, a_n \in \mathbb{Z}$  and  $a_0 \neq 0$ . But then  $a_0 \in \mathbb{Z} \cap \mathfrak{m}$ . This contradiction proves that x is transcendental over  $\mathbb{Q}$ . It follows that  $\mathbb{Q}[x] \subseteq R$  and  $\mathbb{Q}[x] \cap \mathfrak{m} = x\mathbb{Q}[x]$ . The completion of  $\mathbb{Q}[x]$  with respect to x is a discrete valuation ring which is contained in R.

Now suppose that  $\operatorname{char}(R) = p$ . Then  $\mathbb{F}_p \cap \mathfrak{m} = 0$  and one continues as in Case B, replacing  $\mathbb{Q}$  by  $\mathbb{F}_p$ .

COROLLARY 1.6: Proposition 1.3(b) implies Proposition 1.3(a).

**Proof:** Let R be as in Proposition 1.3. Lemma 1.5 gives a complete valuation subring  $R_0$  of R. By Proposition 1.3(b), G is regular over the quotient field of  $R_0$  with a rational point. Hence G is also regular over K with a rational point. So, Proposition 1.3(a) is valid.

Vol. 85, 1994

# 2. Henselian fields

A field K is **defectless** with respect to a valuation v if each finite extension L of K satisfies

(1) 
$$[L:K] = \sum_{w|v} e(w/v)f(w/v),$$

where w ranges over all valuations of L that extend v, e(w/v) is the ramification index, and f(w/v) is the relative residue degree of w/v. If (K, v) is Henselian, then v has a unique extension w to L. In this case we write e(L/K) (resp., f(L/K)) instead of e(w/v) (resp., f(w/v)). Then condition (1) simplifies to

(2) 
$$[L:K] = e(L/K)f(L/K)$$

For example, each complete discrete valued field (K, v) is defectless [Rbn, p. 236].

LEMMA 2.1<sup>\*</sup>: Let (K, v) be a defectless Henselian discrete valued field, and let  $(\hat{K}, \hat{v})$  be its completion. Then  $\hat{K}/K$  is a regular extension.

**Proof:** We have to prove that each finite extension L of K is linearly disjoint from  $\hat{K}$  over K.

Indeed, as  $\hat{K}/K$  is an immediate extension  $e(\hat{K}/K) = 1$ . Thus  $e(\hat{K}L/\hat{K}) = e(\hat{K}L/K) = e(\hat{K}L/L)e(L/K) \ge e(L/K)$ . Similarly the residue degree satisfy  $f(\hat{K}L/\hat{K}) \ge f(L/K)$ . Hence, by (2)

$$[\hat{K}L:\hat{K}] \le [L:K] = e(L/K)f(L/K) \le e(\hat{K}L/\hat{K})f(\hat{K}L/\hat{K}) = [\hat{K}L:\hat{K}].$$

Thus  $[\hat{K}L : \hat{K}] = [L : K]$ . Conclude that L is linearly disjoint from  $\hat{K}$  over K.

Suppose now that v is a discrete valuation of K (i.e.,  $v(K) = \mathbb{Z}$ ). Let O be its valuation ring, let L be a finite extension of K and let O' be the integral closure of K in L. If O' is a finitely generated O-module, then (1) holds [Se2, p. 26]. This is in particular the case if L/K is separable [Se2, p. 24]. Hence, if char(K) = 0, then K is defectless with respect to v. If K is a function field of one variable over a field  $K_0$ , and v is a valuation of K which is trivial on  $K_0$ , then there exists a finitely generated ring R over  $K_0$  and a prime ideal  $\mathfrak{p}$  of Rsuch that  $R_{\mathfrak{p}}$  is the valuation ring of v. Since the integral closure of R in L is finitely generated as an R-module [La1, p. 120], the same holds for  $R_{\mathfrak{p}}$ . It follows that (K, v) is defectless.

<sup>\*</sup> Lemmas 2.1 and 2.2 overlap with Lemma 2.13 and Corollary 2.14 of [Kul].

#### M. JARDEN

LEMMA 2.2: Let (K, v) be a discrete Henselian valued field and let  $(\hat{K}, \hat{v})$  be the completion of (K, v). Then (K, v) is defectless in each of the following cases:

- (a)  $\operatorname{char}(K) = 0.$
- (b) (K, v) is the Henselization of a valued field (K<sub>1</sub>, v<sub>1</sub>), where K<sub>1</sub> is a function field of one variable over a field K<sub>0</sub> and v<sub>1</sub> is a valuation of K<sub>1</sub> which is trivial on K<sub>0</sub>.

Hence, by Lemma 2.1, in each of these cases,  $\hat{K}/K$  is a regular extension.

**Proof:** By the paragraph that precedes the lemma, it suffices to consider only Case (b). Since (1) holds if L/K is separable, it suffices to prove (2) only in the case where L/K is a purely inseparable extension of degree q. Then there exists a finite extension  $K_2$  of  $K_1$  which is contained in K and a finite purely inseparable extension  $L_2$  of  $K_2$  of degree q such that  $K \cap L_2 = K_2$  and  $KL_2 = L$ . Since  $K_2$  is a function field of one variable over a finite extension of  $K_0$ ,  $K_2$  is defectless. Also  $v_2 = v|_{K_2}$  has a unique extension  $w_2$  to  $L_2$ . Hence,  $e(w_2/v_2)f(w_2/v_2) = q$ .

Denote now the unique extension of v to L by w. Then  $w|_{L_2} = w_2$ . Since (K, v) is also the Henselization of  $(K_2, v_2)$ , we have  $f(L/K) \ge f(w_2/v_2)$  (actually both degrees are 1) and  $e(L/K) \ge e(w_2/v_2)$ . So,

$$q = [L:K] \ge e(L/K)f(L/K) \ge e(w_2/v_2)f(w_2/v_2) = q$$

and therefore (2) holds, as desired.

LEMMA 2.3: Let (K, v) be a Henselian valued field and let  $(\hat{K}, \hat{v})$  be its completion. Suppose that  $\hat{K}/K$  is a regular extension. Then for each  $0 \neq g \in K[X_1, \ldots, X_n]$  each point  $\mathbf{x} \in (\hat{K})^n$  with  $g(\mathbf{x}) \neq 0$  has a K-rational specialization **a** such that  $g(\mathbf{a}) \neq 0$ . Thus K is **existentially closed** in  $\hat{K}$ .

Proof: Adding  $g(\mathbf{x})^{-1}$  to  $x_1, \ldots, x_n$  if necessary, we may assume that g = 1. By assumption,  $K(\mathbf{x})$  is a separable extension of K. Let  $u_1, \ldots, u_r$  be a separating transcendence base for  $K(\mathbf{x})/K$  and let z be a primitive element for the finite separable extension  $K(\mathbf{x})/K(\mathbf{u})$  which is integral over  $K[\mathbf{u}]$ . Then there exists an irreducible polynomial  $f \in K[U_1, \ldots, U_r, Z]$  such that  $f(\mathbf{u}, z) = 0$  and  $f'(\mathbf{u}, z) \neq 0$  (the prime stands for derivative with respect to Z). Also,  $x_i = h_i(\mathbf{u}, z)/h_0(\mathbf{u})$ , for  $h_i \in K[\mathbf{U}, Z]$  and  $0 \neq h_0 \in K[\mathbf{U}]$ .

Since (K, v) is dense in  $(\hat{K}, \hat{v})$  we may approximate  $u_1, \ldots, u_r, z$  by elements of K to any desired degree. Since K is Henselian, there exist  $b_1, \ldots, b_r, c \in K$  such that  $f(\mathbf{b}, c) = 0$  and  $h_0(\mathbf{b}) \neq 0$ . It follows that  $(\mathbf{b}, c)$  is a K-specialization of  $(\mathbf{u}, z)$ .

Let now  $a_i = h_i(\mathbf{b},c)/h_0(\mathbf{b}), i = 1, ..., n$ . Then **a** is a K-specialization of **x**.

LEMMA 2.4: Let K be an existentially closed subfield of a field  $\hat{K}$ . If a finite group G is regular over  $\hat{K}$  (resp., with a rational point), then G is also regular over K (resp., with a rational point).

Proof: Suppose for example that G is regular over  $\hat{K}$  with a rational point. Then, there exists an absolutely irreducible polynomial  $f \in \hat{K}[T, X]$  which is Galois and monic in X such that  $\mathcal{G}(f(T, X), \hat{K}(T)) \cong G$ , and there exist  $t, x \in \hat{K}$ such that f(t, x) = 0 and  $\frac{\partial f}{\partial T}(t, x) \neq 0$  or  $\frac{\partial f}{\partial X}(t, x) \neq 0$ . Find  $u_1, \ldots, u_n \in \hat{K}$  and a polynomial  $g \in K(\mathbf{U})[T, X]$  such that  $K[\mathbf{u}]$  is integrally closed,  $g(\mathbf{u}, T, X) =$  $f(T, X), \ \mathcal{G}(g(\mathbf{u}, T, X), K(\mathbf{u}, T)) \cong G$ , and there exist rational functions  $p, q \in$  $K(\mathbf{U})$  such that  $t = p(\mathbf{u})$  and  $x = q(\mathbf{u})$ . By the Bertini-Noether theorem there exists  $0 \neq h \in K(\mathbf{U})$  such that if a specialization  $\mathbf{a}$  of  $\mathbf{u}$  satisfies  $h(\mathbf{a}) \neq 0$ , then  $g(\mathbf{a}, T, X)$  is well defined, Galois in X, and absolutely irreducible [FrJ, Prop. 9.29]. Also,  $p(\mathbf{a})$  and  $q(\mathbf{a})$  are well defined and  $\frac{\partial f}{\partial T}(p(\mathbf{a}), q(\mathbf{a})) \neq 0$  or  $\frac{\partial f}{\partial X}(p(\mathbf{a}), q(\mathbf{a})) \neq 0$ . Choosing h such that the discriminant of  $g(\mathbf{a}, T, X)$  with respect to X is nonzero,  $\mathcal{G}(g(\mathbf{a}, T, X), K(\mathbf{a}))$  becomes isomorphic to a subgroup of  $\mathcal{G}(g(\mathbf{u}, T, X), K(\mathbf{u}, T))$ [La2, p. 248, Prop. 15]. Since

$$\begin{split} |\mathcal{G}(g(\mathbf{a},T,X),K(\mathbf{a},T))| &= \deg_X g(\mathbf{a},T,X) \\ &= \deg_X g(\mathbf{u},T,X) = |\mathcal{G}(g(\mathbf{u},T,X),K(\mathbf{u},T))|, \end{split}$$

we have  $\mathcal{G}(g(\mathbf{a}, T, X), K(\mathbf{a})) \cong G$ . Since K is existentially closed in  $\hat{K}$ , we can choose  $\mathbf{a}$  in  $K^n$ . Hence G is regular over K with a rational point.

Similarly one proves that if G is regular over  $\hat{K}$ , then it is also regular over K.

THEOREM 2.5 (Florian Pop<sup>\*</sup>): Let (F, w) be a Henselian valued field. Then every finite group G is regular over F with a rational point.

**Proof:** It is implicit in our assumptions that w is a nontrivial valuation.

<sup>\*</sup> Communicated to the author by Peter Roquette.

CLAIM: (F, w) is an extension of a discrete Henselian valued field (K, v) which satisfies the conclusion of Lemma 2.3.

Suppose first that  $\operatorname{char}(F) = 0$  and that w is nontrivial on  $\mathbb{Q}$ . Then  $F_0 = \tilde{\mathbb{Q}} \cap F$  is Hencelian with respect to  $w_0 = w|_{F_0}$  [Jar, Cor. 11.2]. Hence, there exists p such that  $(F_0, w_0)$  is an extension of the Henselization  $(\mathbb{Q}_{p,\operatorname{alg}}, v_p)$  of  $(\mathbb{Q}, v_p)$ , where  $v_p$  denotes the p-adic valuation. Let  $K = \mathbb{Q}_{p,\operatorname{alg}}$  and  $v = v_p$ .

Next suppose that  $\operatorname{char}(F) = 0$  and that w is trivial on  $\mathbb{Q}$ . Then there exists  $x \in F \setminus \mathbb{Q}$  such that  $w(x) \neq 0$ . This element is transcendental over  $\mathbb{Q}$ . Thus w induces a nontrivial valuation  $v_0$  on  $\mathbb{Q}(x)$ . Then  $F_0 = \widetilde{\mathbb{Q}(x)} \cap F$  contains the Henselization K of  $\mathbb{Q}(x)$  with respect to  $v_0$ .

If char(F) = p, then w is trivial on  $\mathbb{F}_p$ . Hence, as in the preceding paragraph, there exists  $x \in F$  which is transcendental over  $\mathbb{F}_p$  such that F contains a Henselization K of  $\mathbb{F}_p(x)$ .

In each case Lemma 2.2 asserts that (K, v) satisfies the conclusion of Lemma 2.3.

Let  $\hat{K}$  be the completion of K with respect to v. By Proposition 1.3b, G is regular over  $\hat{K}$  with a rational point. Hence, by Lemma 2.4, G is regular over F with a rational point.

Recall that a field K is **PAC** if each nonempty absolutely irreducible variety which is defined over K has a K-rational point. Fried and Völklein [FV1] use complex analysis to prove that if K is a PAC field of characteristic 0, then each finite group G is regular over K. Völklein informed the author that the construction in [Voe] implies that G is even regular over K with a rational point. Pop has observed that the methods of this note imply the same result without any restriction on the characteristic:

THEOREM 2.6: Let K be a PAC field and let G be a finite group. Then G is regular over K with a rational point.

**Proof:** The field  $\hat{K} = K((X))$  is regular over K, because the map  $X \to 0$  extends to a place  $\hat{K} \to K \cup \{\infty\}$  (Lemma 1.2). Since K is PAC this implies that K is existentially closed in  $\hat{K}$  [FrJ, p. 139, Exer. 7]. By Proposition 1.3(b), G is regular over  $\hat{K}$  with a rational point. Hence, by Lemma 2.4, G is regular also over K with a rational point.

## 3. Hilbertian fields

An integral domain S with a quotient field F is a **Krull domain** if F has a family  $\mathcal{V}$  of discrete valuations such that the intersection of their valuation rings is S and for each  $0 \neq a \in K$  there are only finitely many  $v \in \mathcal{V}$  such that  $v(a) \neq 0$ . For example, each Dedekind domain is a Krull domain. Also, if S is a Krull domain with a quotient field F, then the integral closure of S in any finite extension of F, the polynomial ring S[X], and the ring of power series S[[X]] are again Krull domains [Bou, pp. 487, 489, and 547].

The dimension of S is greater than 1, if S has a maximal ideal M which properly contains a nonzero prime ideal.

PROPOSITION 3.1 (Weissauer [FrJ, Thm. 14.7]): The quotient field of a Krull domain of dimension exceeding 1 is separably Hilbertian.

Example 3.2: Ring of formal power series. Let R be either a field or a discrete valuation ring with maximal ideal  $\mathfrak{m}$ . Then,  $S = R[[X_1, \ldots, X_r]]$  is a Krull domain. Indeed, it is even a unique factorization domain [Bou, p. 511].

Consider the ideal M of S which consists of all power series  $\sum_{i=0}^{\infty} f_i$ , where  $f_i \in R[X_1, \ldots, X_r]$  is a form of degree  $i, f_0 = 0$  if R is a field, and  $f_0 \in \mathfrak{m}$  if R is a discrete valuation ring. Since  $S/M \cong R$  if R is a field and  $S/M \cong R/\mathfrak{m}$  if R is a discrete valuation ring, M is a maximal ideal. If R is a field (resp., discrete valuation ring) and  $r \ge 2$  (resp.,  $r \ge 1$ ), then M contains the prime ideals generated by  $X_1$  and by  $X_2$  (resp.,  $\mathfrak{m}$  and by  $X_1$ ) and neither of them is contained in the other. Hence dim $(S) \ge 2$ . It follows from Proposition 3.1 that the quotient field of S is separably Hilbertian.

THEOREM A: Let R be the valuation ring of a discrete Henselian field K, let r be a positive integer, and let F be the quotient field of  $R[[X_1, \ldots, X_r]]$ . Then every finite group G is realizable over F.

**Proof:** Let G be a finite group. By Theorem 2.5, G is regular over the quotient field of R with a rational point. Hence, G is regular over F with a rational point. In particular, G is realizable over F(T). By Example 3.2, F is separably Hilbertian. Hence G is realizable over F [FrJ, Lemma 12.12].

Remark 3.3: The case r = 1. By Puiseux's theorem,  $G(\mathbb{C}((X))) \cong \mathbb{Z}$ . Hence, only cyclic groups can be realized over  $\mathbb{C}((X))$ . Thus, Corollary B(a) is false for r = 1.

M. JARDEN

Remark 3.4: Cohomological dimension. We have already mentioned that every finite group is realizable over  $\mathbb{C}(t)$ . Moreover, the absolute Galois group,  $G(\mathbb{C}(t))$ , of  $\mathbb{C}(t)$  is even a free profinite group of uncountable rank [Rib, p. 70]. In particular,  $G(\mathbb{C}(t))$  is projective, that is, of cohomological dimension 1. On the other hand, use the notation of Theorem A and assume that there exists a prime  $p \neq \operatorname{char}(K)$  such that  $1 \leq \operatorname{cd}_p(G(K)) < \infty$ . Then, as we explain in the next paragraph,  $\operatorname{cd}_p(G(F)) \geq r + 1$ . In particular, although every group is realizable over F, not every embedding problem for G(F) is solvable.

Indeed, let E be the quotient field of  $R[[X_1, \ldots, X_{r-1}]]$ . Induction on r gives,  $cd(G(E)) \ge r$ . Hence,  $cd(G(E((X_r))) \ge r + 1$  [Rib, p. 277]. Also,  $E \subseteq E(X_r) \subseteq F \subseteq E((X_r))$ . By Krasner's lemma [Jar, Prop. 12.3]  $E(X_r)_s E((X_r)) = E((X_r))_s$  ( $L_s$  is the separable closure of a field L.) Hence  $F_s E((X_r)) = E((X_r))_s$ , and therefore, by Galois theory,  $G(E((X_r)))$  is isomorphic to the closed subgroup  $G(F_s \cap E((X_r)))$  of G(F). Conclude that  $cd(G(F)) \ge cd_p(G(E((X_r)))) \ge r + 1$  [Rib, p. 204], as was to be shown.

Denote the free profinite group of countable rank by  $\hat{F}_{\omega}$ .

Example 3.5: A field K over which every finite group is realizable but  $\hat{F}_{\omega}$  is not realizable over K.

Let  $G_1, G_2, G_3, \ldots$  be a listing of all finite groups. Consider the direct product  $G = \prod_{i=1}^{\infty} G_i$ . Then G is a profinite group of rank  $\aleph_0$ . Let  $\phi: \tilde{G} \to G$  be the universal Frattini cover of G. Then  $\tilde{G}$  is projective [FrJ, Prop. 20.33] of rank  $\aleph_0$  [FrJ, Cor. 20.26]. Hence, there exists an algebraic extension K of  $\mathbb{Q}$  which is PAC with  $G(K) \cong \tilde{G}$  [FrJ, Thm. 20.22]. Then, each finite group is a quotient of  $\tilde{G}$  and therefore it is realizable over K.

Assume now that  $\hat{F}_{\omega}$  is realizable over K. Then,  $\hat{F}_{\omega}$  is a quotient of  $\tilde{G}$ . It follows that there exists a Frattini cover  $\phi$  of  $\hat{F}_{\omega}$  onto a quotient  $\tilde{G}$  of G [FrJ, Lemma 20.35]. The kernel of  $\phi$  is contained in the Frattini subgroup of  $\hat{F}_{\omega}$ which is trivial [FrJ, Cor. 24.8]. Hence,  $\hat{F}_{\omega} \cong \tilde{G}$  and therefore there exists an epimorphism  $\alpha: G \to \hat{F}_{\omega}$ . But for each  $i, \alpha(G_i)$  is a finite subgroup of  $\hat{F}_{\omega}$ . Since  $\hat{F}_{\omega}$  is torsion free,  $\alpha(G_i) = 1$ . Since the  $G_i$  generate G, we obtain that  $\hat{F}_{\omega} = \alpha(G) = 1$ . This contradiction proves that  $\hat{F}_{\omega}$  is not realizable over K.

Note that as K is PAC, the latter conclusion implies, in view of a result of Fried and Völklein [FV2, Thm. A], that K is not Hilbertian. So, our argument strengthens the one given in [FV2, Sect. 2, Example].

PROPOSITION 3.6 (W.-D. Geyer): If K is an algebraically closed field of characteristic 0 and  $r \ge 2$ , then  $\hat{F}_{\omega}$  is realizable over  $K((X_1, \ldots, X_r))$ .

Proof: Observe that  $K(\frac{X_1}{X_2}) \subseteq K((X_1, \ldots, X_r))$ . As  $t = \frac{X_1}{X_2}$  is transcendental over K, the absolute Galois group of K(t) is free of rank which is equal to the cardinality of K [Rib, p. 70]. In particular  $\hat{F}_{\omega}$  is a quotient of G(K(t)).\* It follows from the next claim that  $\hat{F}_{\omega}$  is realizable over  $K((X_1, \ldots, X_r))$ .

CLAIM: K(t) is algebraically closed in  $K((X_1, \ldots, X_r))$ . Indeed, consider an algebraic element  $f \in K((X_1, \ldots, X_r))$  over K(t). We prove that each prime divisor of K(t) is unramified in K(t, f). It will follow that  $f \in K(t)$ , [FrJ, Prop. 2.15], as desired.

To this end consider  $c \in K$  and let  $u \doteq t - c$ . Then  $X_1 = X_2(u + c)$  and therefore

$$K(u) = K(t) \subseteq K((X_1, X_2, \dots, X_r)) \subseteq K((u, X_2, \dots, X_r))$$
$$\subseteq K((u))((X_2, \dots, X_r)) = F.$$

The map  $X_i \mapsto 0, i = 2, ..., r$ , extends to a K((u))-place  $\phi: F \to K((u)) \cup \{\infty\}$ which extends further to a place  $\phi: \tilde{F} \to K((u)) \cup \{\infty\}$  which fixes each element of  $\widetilde{K((u))}$ . In particular, as  $f \in \widetilde{K(u)} \cap F$ , we have  $f = \phi(f) \in K((u))$ . But K((u))/K(t) is unramified at the zero  $(t-c)_0$  of t-c. So,  $(t-c)_0$  is unramified in K(t, f). Finally, replace t by  $\frac{X_2}{X_1}$  to conclude that also  $(t)_\infty$  is unramified in K(t, f), as desired.

Example 3.5 and Proposition 3.6 naturally raise the following question:

PROBLEM 3.7: Let K be an arbitrary field and let  $r \ge 2$ . Is  $\hat{F}_{\omega}$  realizable over  $K((X_1, \ldots, X_r))$ ?

Remark 3.8: Harbater [Ha2, Prop. 2.3] proves that if O is the ring of integers of a number field K and F is the quotient field of O[[X]], then every finite group Gis realizable over F. Moreover, F has a Galois extension  $\hat{F}$  which is regular over K such that  $\mathcal{G}(\hat{F}/F) \cong G$ . Note that as O is a Dedekind domain, O[[X]] is a Krull domain of dimension at least 2. Hence, by Proposition 3.1, F is Hilbertian.

In view of Theorem A and Remarks 3.3 and 3.8 we may ask:

<sup>\*</sup> Florian Pop has recently announced a  $\frac{1}{2}$  Riemann existence theorem' from which the same result follows also if char $(K) \neq 0$ . If we use Pop's theorem, then Proposition 3.6 will hold for an arbitrary algebraically closed field.

PROBLEM 3.8: Let O be a domain of characteristic 0 which is not a field. Denote the quotient field of O[[X]] by F. Is every finite group realizable over F?

### References

- [Bou] N. Bourbaki, Elements of Mathematics, Commutative Algebra, Chapters 1-7, Springer, Berlin, 1989.
- [FrJ] M. D. Fried and M. Jarden, Field Arithmetic, Ergebnisse der Mathematik (3) 11, Springer, Heidelberg, 1986.
- [FV1] M. D. Fried and Helmut Völklein, The inverse Galois problem and rational points on moduli spaces, Mathematische Annalen 209 (1991), 771–800.
- [FV2] M. D. Fried and Helmut Völklein, The embedding problem over a Hilbertian PAC-field, Annals of Mathematics 135 (1992), 469-481.
- [GrD] A. Grothendieck and J. Dieudonne, Éléments de Géométrie Algébrique III, Publications Mathématiques, IHES 11 (1961),
- [Ha1] D. Harbater, Galois coverings of the arithmetic line, in Number Theory, New York 1984–1985 (D. V. Chundnovsky et al., eds.), Springer, Berlin 1987.
- [Ha2] D. Harbater, Mock covers and Galois extensions, Journal of Algebra 91 (1984), 281-293.
- [Hil] D. Hilbert, Über die Irreduzibilität ganzer rationaler Funktionen mit ganzzahligen Koeffizienten, Journal für die reine und angewandte Mathematik 110 (1892), 104–129.
- [JaR] M. Jarden and Peter Roquette, The Nullstellensatz over p-adically closed fields, Journal of the Mathematical Society Japan 32 (1980), 425-460.
- [Jar] M. Jarden, Intersection of local algebraic extensions of a Hilbertian field (A. Barlotti et al., eds.), NATO ASI Series C 333 343-405, Kluwer, Dordrecht, 1991.
- [Kul] F.-V. Kuhlmann, Henselian Function Fields and Tame Fields, manuscript, Heidelberg, 1990.
- [La1] S. Lang, Introduction to Algebraic Geometry, Interscience Publishers, New York, 1958.
- [La2] S. Lang, Algebra, Addison-Wesley, Reading, 1970.
- [Liu] Q. Liu, Tout groupe fini est un groupe de Galois sur  $\mathbb{Q}_p(T)$ , manuscript, 1991.
- [Mat] B. H. Matzat, Der Kenntnisstand in der Konstruktiven Galoisschen Theorie, manuscript, Heidelberg, 1990.

- [Rei] H. Reichardt, Konstruktion von Zahlkörpern mit gegebener Galoisgruppe von Primzahlpotenzordnung, Journal für die reine und angewandte Mathematik 177 (1937), 1-5.
- [Rbn] P. Ribenboim, Théorie des valuations, Les Presses de l'Université de Montréal, Montréal, 1964.
- [Rib] L. Ribes, Introduction to Profinite Groups and Galois Cohomology, Queen's Papers in Pure and Applied Mathematics 24, Queen's University, Kingston, 1970.
- [Se1] J.-P. Serre, Topics in Galois Theory, Jones and Barlett, Boston, 1992.
- [Se2] J.-P. Serre, Corps Locaux, Hermann, Paris, 1962.
- [Sha] I.R. Shafarevich, The imbedding problem for splitting extensions, Dokl. Akad. Nauk SSSR 120 (1958), 1217–1219.
- [Slz] Arnold Scholz, Konstruktion algebraischer Zahlkörper mit beliebiger Gruppe von Primzahlpotenzordnung I., Mathematische Zeitschrift 42 (1936), 161–188.
- [Voe] H. Völklein, Moduli spaces for covers of the Riemann sphere, Israel Journal of Mathematics 85 (1994), 407-430 (this issue).